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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Joshua Z. Levin, Ken Phillips, Greg Budziszewski, Fred Meins and Zhenya Glazov

Appl. No. 09/896,186

Filed: June 29, 2001

For: Methods of Controlling Gene Expression

and Gene Silencing

Art Unit: To be assigned

Examiner: To be assigned

Atty Docket: PB/5-31481A

## PRELIMINARY AMENDMENT UNDER 37 C.F.R. §1.111

Box: Non-Fee Amendment Commissioner for Patents Washington, D.C. 20231 DO NOT ENTER AM 1/13/03

Sir:

Prior to examination of the above-identified patent application, please enter the following amendments and consider the remarks below. Submitted herewith are: 1) a substitute specification with claims, 2) a marked up copy of the specification showing additions in underlined text, and 3) a new substitute sequence listing in paper copy and computer readable form with the Statement under 37 C.F.R. § 1.185.

The amendments below incorporate four new sequences into the application (two nucleotide sequences and two polypeptide sequences). The additions to the application are being submitted before the one year priority date of August 1, 2001.

## IN THE SPECIFICATION:

Please amend the specification to read as follows:

On page 3, second paragraph: